

Algorithmic problems over ideal lattices

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Discrete Mathematics, Codes and Cryptography eSeminar,
Paris 8

(Partly based on a joint work with Guillaume Hanrot and Damien Stehlé)

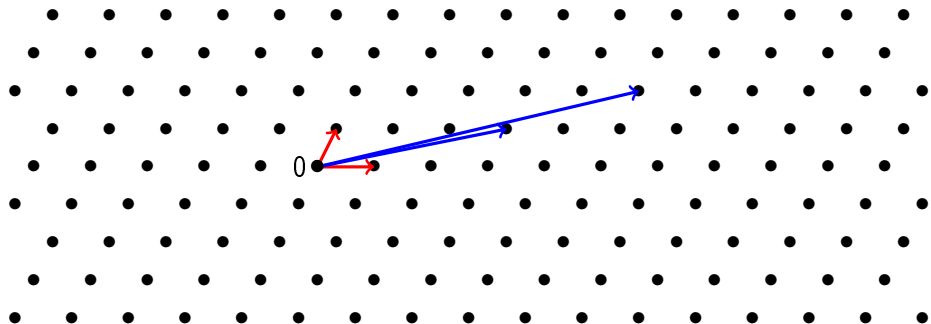
Outline of the talk

- 1 Lattice problems and LWE
- 2 Adding algebraic structure
- 3 Algorithms for ideal-SVP

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Lattices

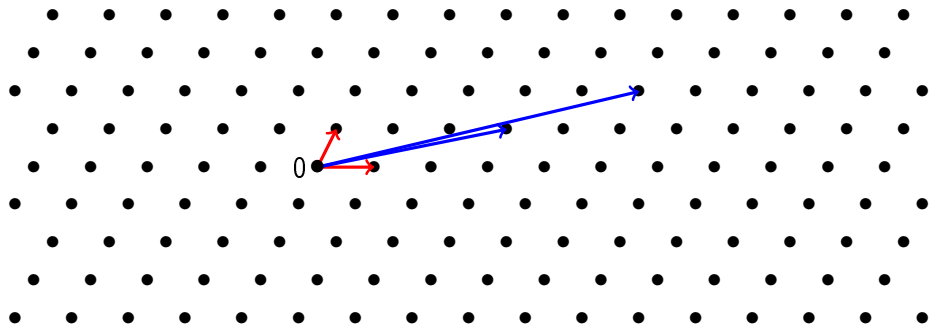


Lattice

A lattice L is a subset of \mathbb{R}^n of the form $L = \{Bx \mid x \in \mathbb{Z}^n\}$, with $B \in \mathbb{R}^{n \times n}$ invertible. B is a **basis** of L , and n is its **rank**.

$\begin{pmatrix} 3 & 1 \\ 0 & 2 \end{pmatrix}$ and $\begin{pmatrix} 17 & 11 \\ 4 & 2 \end{pmatrix}$ are two bases of the above lattice.

Lattices

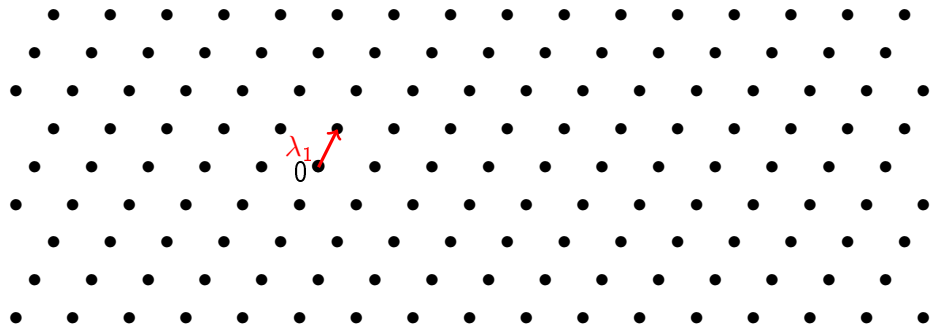


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We represent a lattice by **any** of its basis

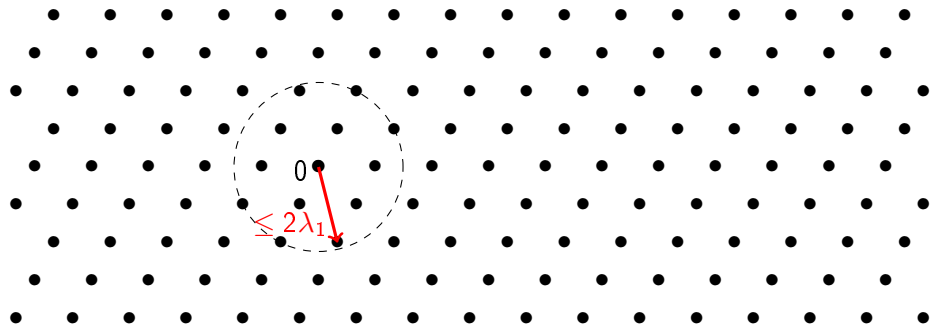
Shortest and Closest vector problems



Shortest Vector Problem (SVP)

Find a shortest (in Euclidean norm) non-zero vector.
Its Euclidean norm is denoted λ_1 .

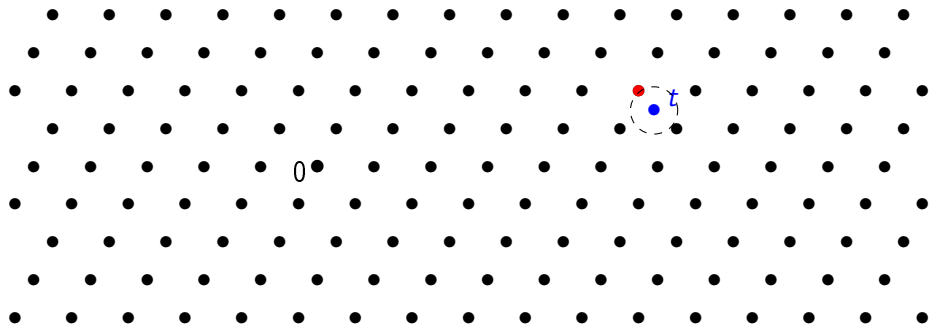
Shortest and Closest vector problems



Approximate Shortest Vector Problem (approx-SVP)

Find a short (in Euclidean norm) non-zero vector.
(e.g. of norm $\leq 2\lambda_1$).

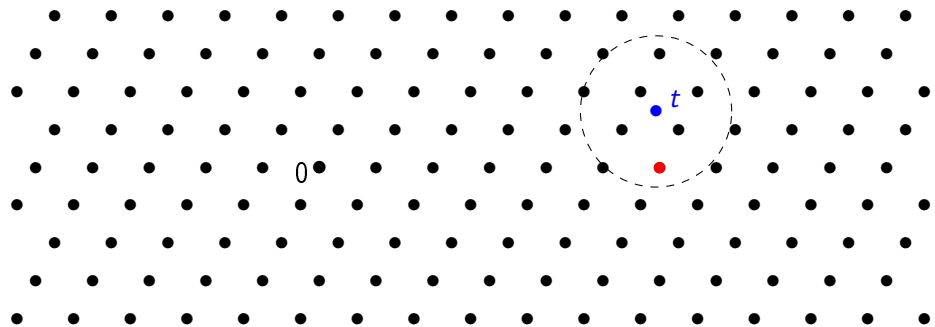
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Closest Vector Problem (CVP)

Given a target point t , find a point of the lattice closest to t .

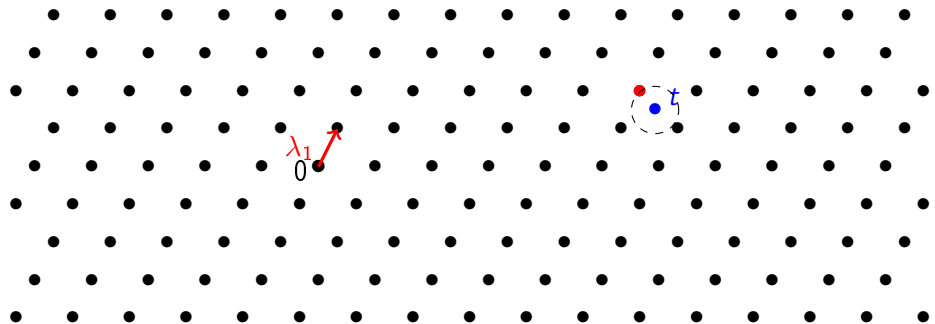
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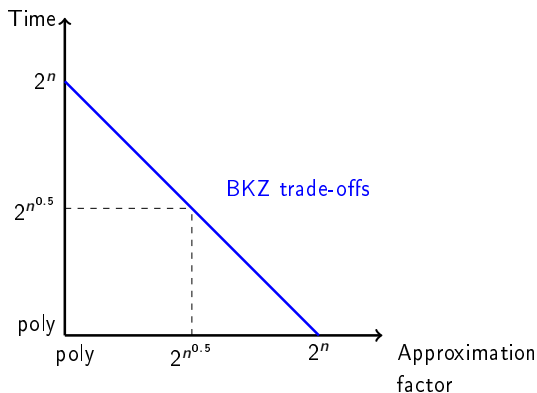


SVP and CVP are **hard** to solve when n increases

- even with a **quantum** computer
- even if we allow small approximation factor ($\gamma = \text{poly}(n)$)

Hardness of SVP and CVP

Best Time/Approximation trade-off for SVP, CVP (even quantumly):
BKZ algorithm [Sch87,SE94]

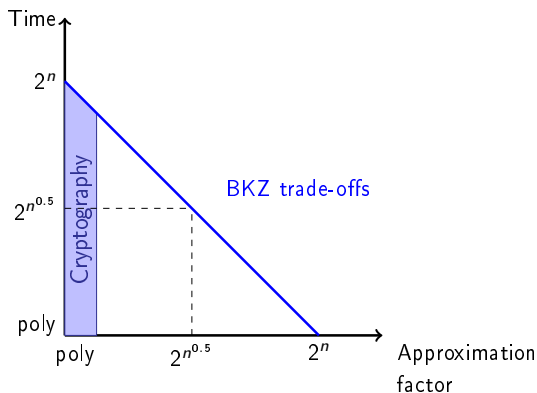


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The LWE problem

LWE (Learning With Errors)

Sample $A \leftarrow \text{Uniform}(\mathbb{Z}_q^{n \times n})$ and $s, e \leftarrow \text{Uniform}(\{-B, \dots, B\}^n)$

Given A and b , where $b := A s + e \pmod q$

Recover s or e

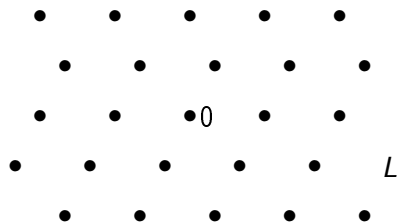
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$$L = \{x \in \mathbb{Z}^n \mid \exists s \in \mathbb{Z}^n, As = x \pmod q\}$$

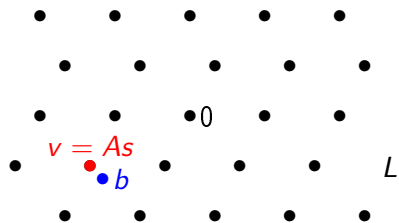
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where $v \in L$ and e small

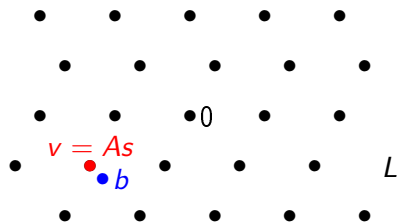
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LWE \approx CVP in L

The LWE problem: advantages



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* Not completely exact: it should be $\text{LWE} \leftrightarrow \text{SIVP}$ (= short independent vectors problem)

The LWE problem: advantages

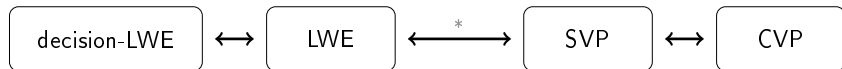


Advantages of LWE over SVP/CVP:

- problem hard **on average**

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The LWE problem: advantages



Advantages of LWE over SVP/CVP:

- problem hard **on average**
- **decision** variant as hard as the search variant

* Not completely exact: it should be $\text{LWE} \leftrightarrow \text{SIVP}$ (= short independent vectors problem)

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Adding structure

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non structured matrix

- storage: n^2
- matrix \times vector : $O(n^2)$

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structured matrix

- storage: n
- matrix \times vector : $\tilde{O}(n)$

SVP + structure = ideal-SVP

Definition

An **ideal lattice** is a lattice which has a basis (in columns) of the form

$$B = \begin{pmatrix} a_1 & -a_n & \cdots & -a_2 \\ a_2 & a_1 & \cdots & -a_3 \\ \vdots & \ddots & \ddots & \vdots \\ a_n & a_{n-1} & \cdots & a_1 \end{pmatrix}$$

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Why is it called an ideal lattice?

Some definitions

Notation

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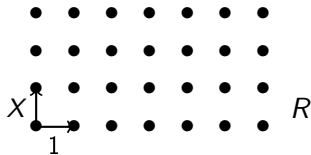
- **Units:** $R^\times = \{a \in R \mid \exists b \in R, ab = 1\}$
 - ▶ e.g. $\mathbb{Z}^\times = \{-1, 1\}$
- **Principal ideals:** $\langle g \rangle = \{gr \mid r \in R\}$ (i.e. all multiples of g)
 - ▶ e.g. $\langle 2 \rangle = \{\text{even numbers}\}$ in \mathbb{Z}
 - ▶ g is called a **generator** of $\langle g \rangle$
 - ▶ The generators of $\langle g \rangle$ are exactly the ug for $u \in R^\times$

$\langle g \rangle$ is an ideal lattice

$$R \simeq \mathbb{Z}^n$$

$$R = \mathbb{Z}[X]/(X^n + 1) \rightarrow \mathbb{Z}^n$$

$$r = r_0 + r_1X + \cdots + r_{n-1}X^{n-1} \mapsto (r_0, r_1, \dots, r_{n-1})$$



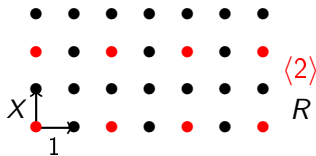
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LWE + structure = Ring-LWE

LWE

$$\begin{pmatrix} a_{1,1} & \cdots & a_{1,n} \\ \vdots & \ddots & \vdots \\ a_{n,1} & \cdots & a_{n,n} \end{pmatrix} \begin{pmatrix} s_1 \\ \vdots \\ s_n \end{pmatrix} + \begin{pmatrix} e_1 \\ \vdots \\ e_n \end{pmatrix}$$

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Ring-LWE

(more exactly Poly-LWE)

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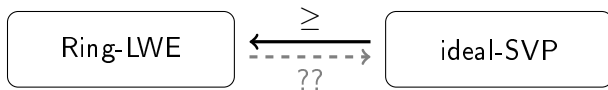
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$$= a(X) \cdot s(X) + e(X) \in R$$

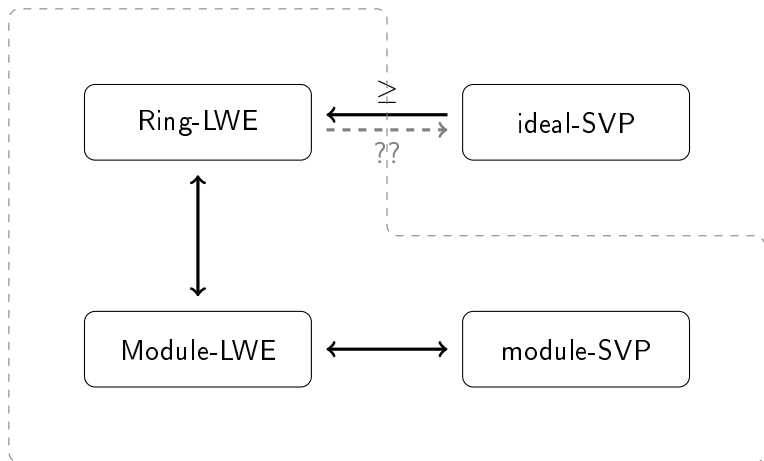
$$R = \mathbb{Z}[X]/(X^n + 1)$$

$$a(X) := \sum_i a_i X^i, \quad s(X) := \sum_i s_i X^i, \quad e(X) := \sum_i e_i X^i \in R$$

Ring-LWE vs ideal-SVP



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The problem to solve

ideal-SVP

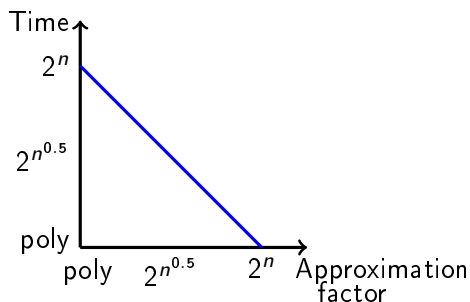
Given a basis of a principal ideal $\langle g \rangle$ and $\alpha \in (0, 1]$,
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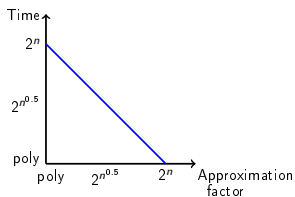
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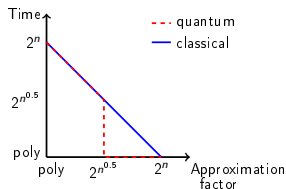
BKZ algorithm can do it in time $2^{O(n^{1-\alpha})}$, can we do better (using the structure)?



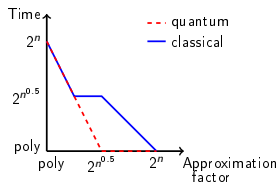
Known algorithms for ideal-SVP



BKZ algorithm



[CDPR16, CDW17]



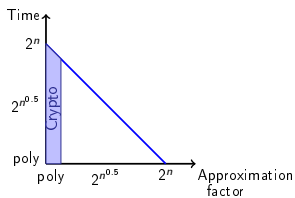
[PHS19]
(with $2^{O(n)}$ pre-processing)

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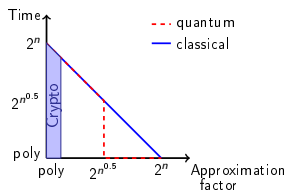
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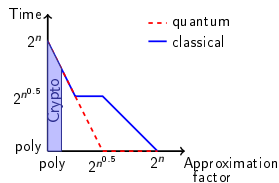
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Ring-LWE is not broken:

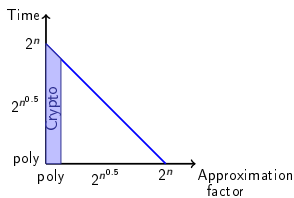
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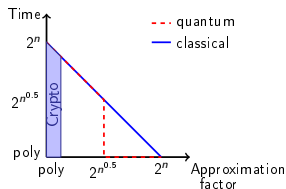
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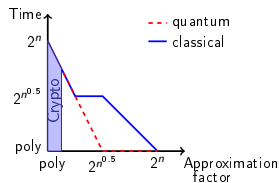
Known algorithms for ideal-SVP



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[CDPR16, CDW17]



[PHS19]
(with $2^{O(n)}$ pre-processing)

Ring-LWE is not broken:

- Standard parameters of Ring-LWE are too small for the algorithms
- We don't know how to use an ideal-SVP solver to break Ring-LWE

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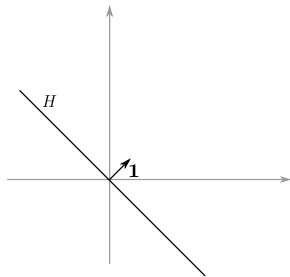
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Main ideas of the ideal-SVP algorithms

A tool: the Log space

$\text{Log} : R \rightarrow \mathbb{R}^n$ (somehow generalising log to R)

Let $\mathbf{1} = (1, \dots, 1)$ and $H = \mathbf{1}^\perp$.



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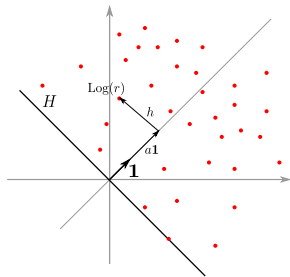
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Properties

$\text{Log } r = h + a\mathbf{1}$, with $h \in H$

- $a \geq 0$



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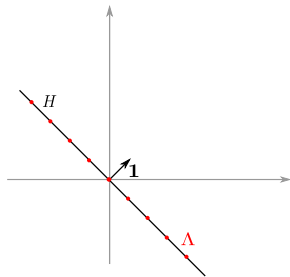
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- $a \geq 0$
- $a = 0$ iff r is a unit
- $\Lambda := \text{Log}(R^\times)$ is a lattice



A tool: the Log space

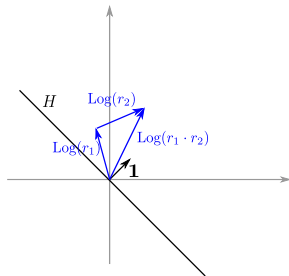
$\text{Log} : R \rightarrow \mathbb{R}^n$ (somehow generalising log to R)

Let $\mathbf{1} = (1, \dots, 1)$ and $H = \mathbf{1}^\perp$.

Properties

$\text{Log } r = h + a\mathbf{1}$, with $h \in H$

- $a \geq 0$
- $a = 0$ iff r is a unit
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- $\text{Log}(r_1 \cdot r_2) = \text{Log}(r_1) + \text{Log}(r_2)$



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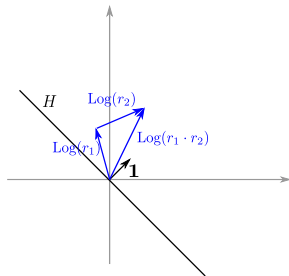
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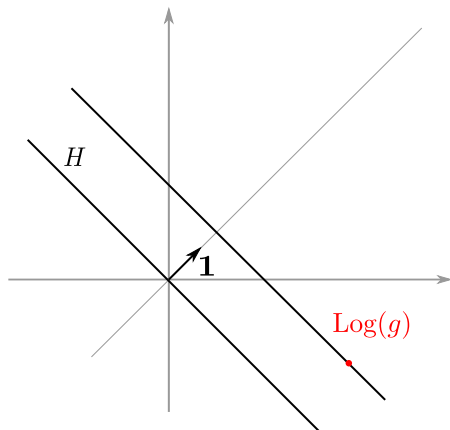
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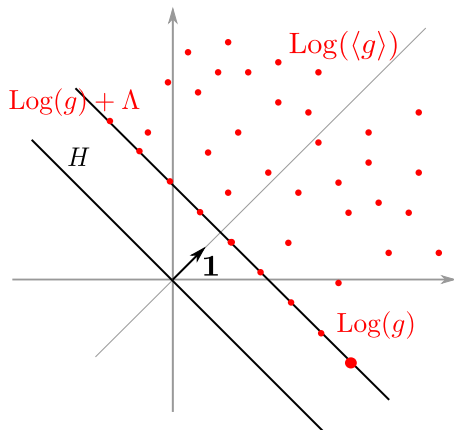
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- $\|r\| \simeq 2^{\|\text{Log } r\|_\infty}$



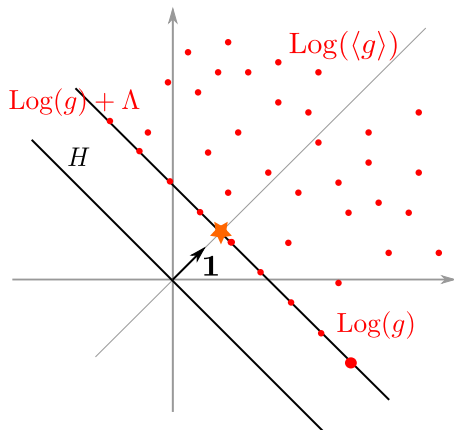
What does $\text{Log}\langle g \rangle$ look like?



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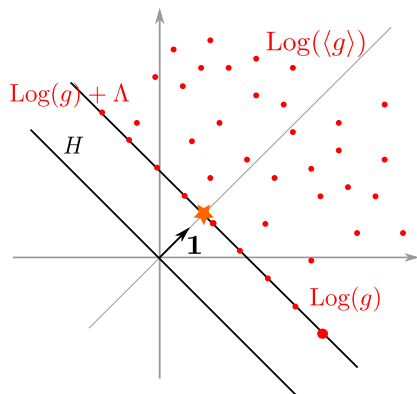


What does $\text{Log}\langle g \rangle$ look like?



Objective: Find a point \bullet as close as possible from \star

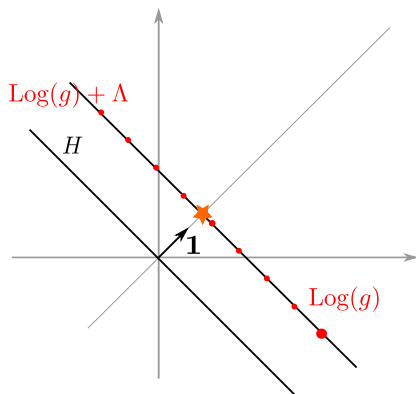
First method: [CGS14, CDPR16, CDW17]



[CGS14]: P. Campbell, M. Groves, and D. Shepherd. Soliloquy: A cautionary tale.

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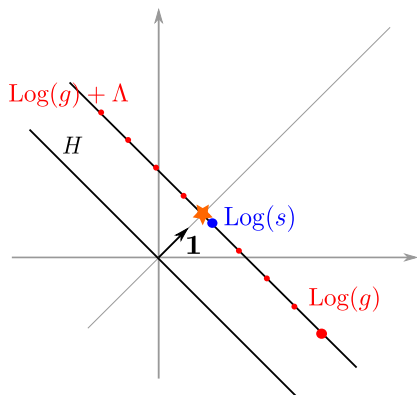
Idea: Only keep the points of $\text{Log}(g) + \Lambda$



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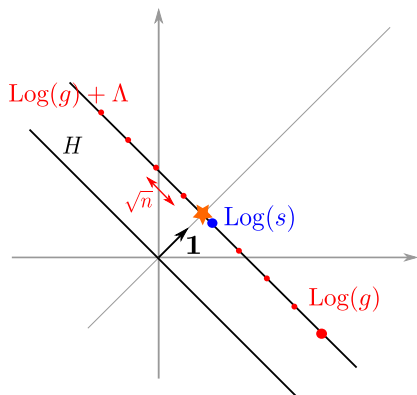


Properties:

- Λ is a nice lattice
 - ▶ Poly time to recover the closest point $\text{Log}(s)$

First method: [CGS14, CDPR16, CDW17]

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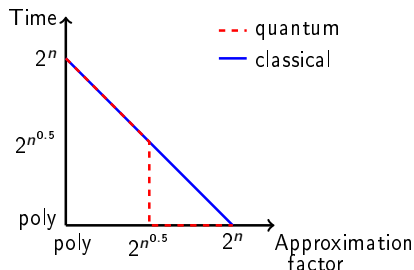
Properties:

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 - ▶ Poly time to recover the closest point $\text{Log}(s)$
- Distance \sqrt{n} between points of Λ
 - ▶ approx factor \sqrt{n} in Log space
 - ▶ approx factor $2^{\sqrt{n}}$ in real space

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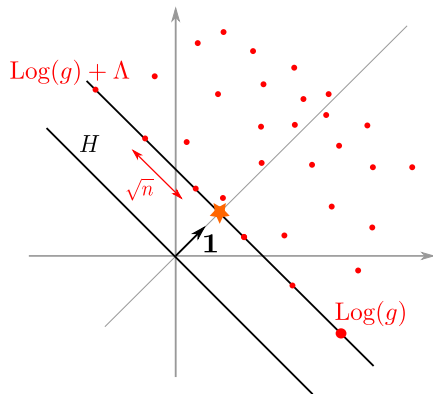


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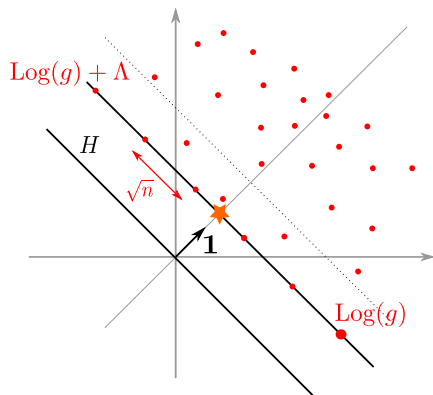
[CGS14]: P. Campbell, M. Groves, and D. Shepherd. Soliloquy: A cautionary tale.

Second method: [PHS19]



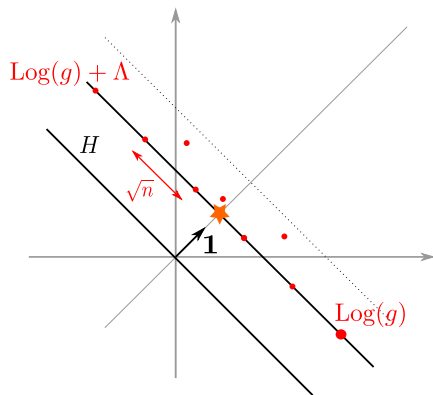
Second method: [PHS19]

Idea: Keep more points



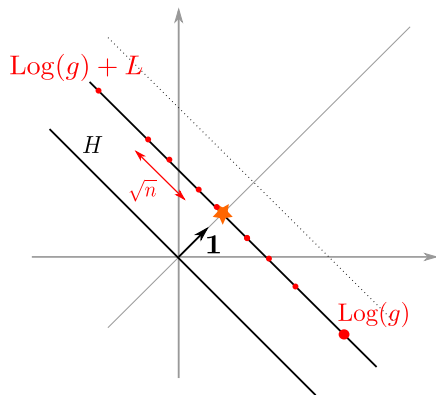
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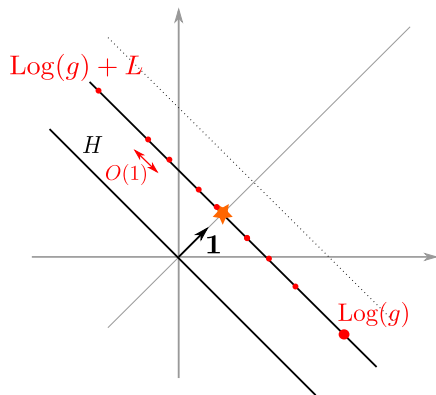


Properties:

- Project the points on $\text{Log}(g) + H$
 - ▶ shifted lattice $\text{Log}(g) + L$

Second method: [PHS19]

Idea: Keep more points

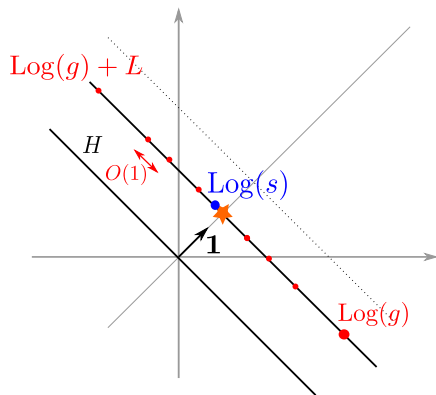


Properties:

- Project the points on $\text{Log}(g) + H$
 - ▶ shifted lattice $\text{Log}(g) + L$
- + Distance $O(1)$ between points of L
 - ▶ approx factor $O(1)$

Second method: [PHS19]

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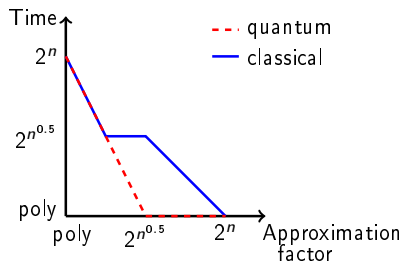


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- L is **not** a nice lattice
 - ▶ cannot find close point $\text{Log}(s)$ efficiently
 - ▶ can **pre-process** L to improve efficiency

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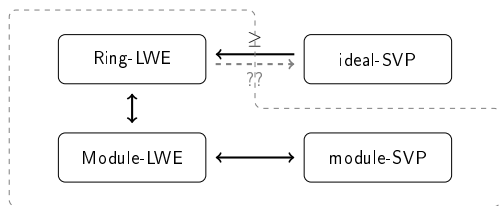
Conclusion

Some open problems

- Are there number fields in which ideal-SVP is significantly easier?

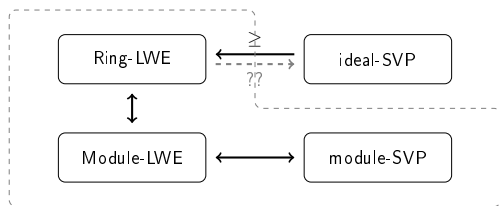
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Questions?